

Health Regulation Administration

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: CPA-041	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED 09/17/2010
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NAME OF PROVIDER OR SUPPLIER FOUNDATION FOR HOME & COMMUNITY INC	STREET ADDRESS, CITY, STATE, ZIP CODE 1012 14TH STREET NW WASHINGTON, DC 20005
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S 000 Initial Comments

An annual licensure inspection was conducted on September 14, 2010, through September 17, 2010, to determine compliance with Chapter 16, Standards of Placement, Care and Services for Child Placing.

The survey findings were based on record reviews and staff interviews. The sample sizes was twenty-five employee records based on a census of forty-five, twenty-three foster home records based on a census of twenty-three, and twenty-four foster children records based on a census of twenty-four.

The Agency was not in compliance with Title 29 Chapter 16, Standards of Placement, Care and Services for Child Placing; and deficiencies were cited.

S 109 1612.2 Staff Functions And Qualifications

Each child-placing agency shall require a written report on the applicant's mental and physical conditions including addictions which could adversely affect the applicant's capacity to work with children.

This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to ensure a written report on the employee's mental and physical conditions including addictions which could adversely affect the employee's capacity to work with children, for sixteen (16) out of the twenty five (25) employees records reviewed. (Employee's #1, #3, #4, #8, #9, #11, #12, #13, #14, #15, #16, #19, #20, #21, #23, and #24)

S 000

**GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF HEALTH
HEALTH REGULATION ADMINISTRATION
825 NORTH CAPITOL ST., N.E., 2ND FLOOR
WASHINGTON, D.C. 20002
11-8-10**

S 109

Re: 1612.2 Staff Functions And Qualifications: For all employee files that were missing an annual report on employee mental and physical condition, HR has contacted the employee and required them to obtain their annual physical and provided them with the approved DC Health Adult Caring for Children form.

S 109

FHC will use the approved DC Health Adult Caring for Children form (see attached) that will meet the requirements that each child-placing agency shall require a written report on the applicant's mental and physical conditions including addictions which could adversely affect the applicant's capacity to work with children. All new hire and annual physicals will be completed using this form. HR will have a database that tracks the use and completion of annual employee physicals.

FHC QI will monitor a sample of HR files quarterly to ensure compliance with this regulation.

12/1/2010

Health Regulation Administration

[Signature]

[Signature] TITLE

11/3/10

(X8) DATE

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

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S 109	Continued From page 1 The finding includes: Review of personnel records beginning on September 16, 2010 at approximately 1:15 p.m. revealed no evidence that employee's had written reports on their mental and physical conditions including addictions for employee's #1, #3, #4, #8, #9, #11, #12, #13, #14, #15, #16, #19, #20, #21, #23, and #24. Interview with the Human Resource Manager on September 17, 2010, confirmed the findings.	S 109		
S 182	1619.1 Case Plan Each child-placing agency shall develop a written case plan on each child prior to placement. In cases of emergency placements, the assessment and case plan shall be initiated within one (1) week and completed within six (6) weeks of placement. This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to develop a written case plan on each child prior to placement. In cases of emergency placements, the assessment and case plan shall be initiated within one (1) week and completed within six (6) weeks of placement. (Foster Child #14) The finding includes: Review of Foster Child #14's record beginning on September 14, 2010, at approximately 2:15 p.m. revealed no evidence that the Child Placing Agency (CPA), had develop a written case plan for foster child #14 prior to placement.	S 182	<u>Re: 1619.1 Case Plan:</u> Foster Child #14 was a nonemergency placement. The majority of Foundation cases are emergency placements. Typically, prior to placement there is little information available from CFSA to formulate a substantial case plan. It is not until placement that information is available to TFC in the FACES database and hard copies provided by CFSA to TFC that can provide background on the child and family. In the instances of nonemergency placements, Foundations will create a Pre-placement Plan Checklist (see attached) that will meet the requirements for initial assessment initiated prior to placement. The Pre-placement Plan Checklist will be utilized at intake to obtain information listed in regulation 1619.2. Intake will track which consumers are emergency and nonemergent. The FHC QI Department will monitor the use of the Pre-placement Plan Checklist during quarterly chart audits.	11/10/2010

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S 182	Continued From page 2 Interview with the Director of Social Services on September 17, 2010, confirmed the findings.	S 182		
S 404	<p>1637.6 Maintenance Of Records</p> <p>All adoption and foster care services records shall be retained permanently.</p> <p>This CONDITION is not met as evidenced by: Based on record review, and interview, the Child-Placing Agency (CPA) failed to ensure each Foster care services record was retained permanently for two (2) of the twenty-four (24) foster children in the sample. (Foster Children #23 and #24)</p> <p>The finding includes:</p> <p>During a face to face interview with the Record Coordinator on September 15, 2010 at approximately 3:25 p.m., it was revealed that Foster Child #23 and #24 resided in Foster Home #21. Further interview revealed the foster care service records for Foster Child #23 and #24 were not available for review by the surveyors.</p>	S 404	<p>Re: 1637.6 Maintenance of Records: It is the policy of FHC that all foster care service records will be retained permanently in the Medical Records room. All records that need to be utilized by TFC workers will be signed out using a sign out sheet in the Medical Records room. To ensure this policy is followed, QI will randomly monitor the use of the record sign out sheet.</p>	11/5/2010
S 411	<p>1638.2 Foster Care</p> <p>Each child-placing agency shall use only licensed foster homes for the placement of children.</p> <p>This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to ensure each foster home was licensed for the placement of children, for two (2) out of the twenty -three (23) foster homes in the sample. (Foster Homes #6 and # 12)</p>	S 411		

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S 411	<p>Continued From page 3</p> <p>The findings include:</p> <p>1. Review of Foster Home #6's record on September 15, 2010, at 11:30 a.m., revealed the foster home's license expired on July 16, 2010. Further review revealed Foster Child #5, #6 and #7 were presently residing in Foster Home #6. During a face to face interview with the Licensing Coordinator (LC) on September 15, 2010, at approximately 2:30 p.m., it was confirmed that the foster home license had expired on July 16, 2010 and Foster Child #5, #6 and #7 were presently residing in the foster home. Further interview revealed the license had not been renewed by the Child and Family Services Administration (CFSA) because the foster mother did not have a current clearance from the Federal Bureau of Investigation (FBI).</p> <p>2. Review of Foster Home #12's record on September 14, 2010 at 1:30 p.m., revealed the foster home's license expired on August 17, 2010. Upon further review it was revealed that there was one child in the home at the time the license expired. A letter reviewed on September 14, 2010 at approximately 1:45 p.m. revealed the Placement Coordinator had found another home for the child to be placed in on September 8, 2010. Interview with the Director of Social Services on September 15, 2010 at approximately 11:30 a.m., confirmed the child was moved on approximately September 15, 2010.</p> <p>Further interview revealed the foster parent had not submitted his renewal paper work to Child and Family Services.</p>	S 411	<p>Re: 1638.2 Foster Care: Foster Home #6 has had ongoing issues obtaining fingerprints. Foster parent #6 has been fingerprinted several times but they are not readable. Attempts to obtain fingerprints were made again on Sept 8th, 2010 and FHC is waiting receipt of results. CFSA is aware of this issue. FHC will attempt to obtain provisional license for this family from CFSA pending receipt of FBI clearance.</p> <p>FHC is working with the foster parent to renew the license of Foster Home #12. No children will be placed in home until license is renewed.</p> <p>It is the policy of FHC that no foster parents will be certified without obtaining fingerprints and FBI clearance. The director of the training department will oversee this to ensure compliance.</p> <p>The training department will create a database with a tickler alert system so that the certification coordinator will be aware prior to any license expiration. The director of the training department will supervise the certification coordinator to ensure compliance with this process. The QI Department will audit a sample of foster parent files on a monthly basis to ensure licenses are up to date.</p>	11/15/2010

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S 464	Continued From page 4	S 464		
S 464	1639.4(t) Foster Home Study (t) Clearance with the child abuse and neglect registry and record of criminal convictions, if any; This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to ensure each foster home parent had a record of criminal conviction, if any; on file for one (1) out of the twenty-three (23) foster homes in the sample (Foster Home #6) The finding includes: Review of Foster Home #6's record on September 15, 2010, at 11:30 a.m., revealed the foster home parents clearance from the Federal Bureau of Investigation (FBI) were dated April 17, 2008. During a face to face interview with the Licensing Coordinator (LC) on September 15, 2010, at approximately 2:40 p.m., it was confirmed that the Child and Family Services Administration (CFSA) would not renew the foster home license because the foster mother did not have a current clearance from the FBI.	S 464	<u>Re: 1639.4 (t) Foster Home Study:</u> Foster Home #6 has had ongoing issues with clearance from the Federal Bureau of Investigation (FBI). CFSA is aware of this issue. FHC will attempt to obtain provisional license for this family from CFSA pending receipt of FBI clearance. It is the policy of FHC that no foster parents will be certified without obtaining fingerprints and FBI clearance. The director of the training department will oversee this to ensure compliance.	11/15/2010
S 481	1640.3(c) Notification Regarding Application (c) Updated medical reports on all members of the household; This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to ensure Foster Home members updated medical reports on all members of the household, for one (1) of the twenty-three (23) foster homes in the sample. (Foster Home # 20)	S 481	<u>Re: 1640.3 (c) Notification Regarding Application:</u> It is the policy of FHC that all foster parents are to obtain an annual medical report on all members of the household. FHC has contacted Foster home #20 in order to obtain her annual medical report. The training department will create a database with a tickler alert system so that the certification coordinator will be aware prior to any medical report expiration. The director of the training department will supervise the certification coordinator to ensure compliance with this process. The QI Department will audit a sample of foster parent files on a monthly basis to ensure medical reports are up to date.	11/15/2010

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S 481	Continued From page 5 The finding includes: Review of Foster Home #20's record on September 15, 2010, at 3:30 p.m., revealed a medical report dated July 31, 2009 for the foster mother. During a face to face interview with the Licensing Coordinator (LC) on September 15, 2010, at approximately 3:40 p.m., it was confirmed that the foster mother did not have a current medical report on file.	S 481	<u>Re: 1643.3 (b) Supervision of Children in Foster Homes: (Received to FHC on 10/20/10 Addendum to the Statement of Deficiencies Report for Licensure - the correct citation is 1644.3 (b)</u> All foster children will have evidence of an annual medical and dental evaluation documented in their file. All foster child records found with no medical or dental records have been updated with current information.	11/15/2010
S 510	1643.3(b) Supervision Of Children In Foster Homes (b) Obtain age appropriate health supervision for child(ren) in care to include at least annual medical and dental examinations. This supervision shall include emergency and routine medical care and correction of remedial medical problems of each child. This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing agency failed to ensure foster children had annual medical evaluations for five (5) out of twenty-four (24) foster children (Foster Child #5, #7, #13, # 20 and #22) and an annual dental examination for five (5) out of twenty-four (24) foster children (Foster Child #5, #7, #12, # 20 and #22) The findings includes: 1. Review of foster care services records for Foster Child #5, #7, #13, # 20 and #22 on September 15, 2010, between 11:45 a.m. and 3:30 p.m., revealed no evidence of current medical evaluations.	S 510	When applicable, Foundations will use a copy of a consumer's DC Universal Health Certificate which is completed annually for DCPS. When the DC Universal Health Certificate is not provided, the TFC worker will discuss with foster parent to ensure medical and dental evaluations are completed annually. In addition, beginning in July, CFSA has provided each agency with a nurse case manager who will be responsible for coordinating health needs of all children in foster care. The nurse care manager will update Health & Dental information FACES. The TFC staff will ensure that a copy of the FACES health screen is in the hard copy record as well. TFC supervisors will review charts during weekly supervision to ensure this information is in the charts. The FHC QI Department will ensure medical and dental records are in the chart through monitoring during quarterly chart reviews.	

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S 510	<p>Continued From page 6</p> <p>During a face to face interview with the Licensing Coordinator (LC) on September 15, 2010, at approximately 2:10 p.m. it was acknowledged that Foster Child #5, #7, #13, # 20 and #22 did not have current medical evaluations.</p> <p>2. Review of foster care services records for Foster Child #5, #7, #12, # 20 and #22 on September 15, 2010, between 11:45 a.m. and 3:30 p.m., revealed no evidence of current dental evaluations.</p> <p>During a face to face interview with the LC on September 15, 2010, at approximately 2:10 p.m. it was acknowledged Foster Child #5, #7, #12, # 20 and #22 did not have current dental evaluations.</p>	S 510		